

## Sequence Divergence in a Family of Variant Surface Glycoprotein Genes from Trypanosomes: Coding Region Hypervariability and Downstream Recombinogenic Repeats

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**Abstract.** The surface of the parasitic protozoan *Trypanosoma brucei* spp. is covered with a dense coat consisting of a single type of glycoprotein molecule, the variant surface glycoprotein (VSG). There may be as many as 1,000 genes for VSG within the genome of *T. brucei*, and the switch of expression from one to another is the phenomenon of antigenic variation. As an approach to understanding the evolution of VSG genes we have determined the genomic DNA sequences of the eight genes encoding the variant surface glycoprotein 117 (VSG) family. From these data we have observed a number of features concerning the relationships between these genes: (1) there is a region of high variability confined to the N-terminus of the coding sequence, and comparison of the sequences with the available X-ray diffraction crystal structures suggests that two of the most variable stretches within the N-terminal domain are present on surface-exposed loops, indicating a role for epi-

tope selection in evolution of these genes; (2) the 29 nucleotides surrounding the splice acceptor site are absolutely conserved in all eight 117 VSG genes; (3) numerous insertion/deletion mutations are located within or immediately downstream of the C-terminal protein-coding sequences; (4) within 500 bp downstream of the insertion/deletion mutations are one or two copies of a repeat motif highly homologous to the recombinogenic 76-bp repeat sequences present upstream of many VSG basic copy genes and the expression-linked copy.

**Key words:** Evolution — Multigene family — Recombination — Trypanosome — Variant surface glycoprotein

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**Abbreviations:** BC, basic copy; ELC, expression-linked copy; ES, expression site; GPI, glycosylphosphatidylinositol; indel, mutation where insertion or deletion cannot be discriminated; (k)bp, (kilo)base pairs; ORF, open reading frame; URS, upstream repeat sequence (also known as 76-bp repeats); UTR, untranslated region; VSG, variant surface glycoprotein. The nucleotide sequences presented in this paper have been submitted to GenBank with the following accession numbers: pSUB85, L31608; pSUB70C, L31607; pSUB70A, L31606; pSUB60, L31605; pSUB55, L31604; pSUB52, L31603; pSUB50, L31602 and the 117 basic copy (pGB117), L34415

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### Introduction

*Trypanosoma brucei* spp., the African trypanosome, is the causative agent of African sleeping sickness in humans; it affects several millions of individuals. The parasite life cycle involves obligate transmission through a mammalian host and an insect vector, the tsetse fly (*Glossina* spp.). While in the mammalian host the parasite dwells in the intertissue spaces and the bloodstream. The parasite is covered by a dense proteinaceous layer consisting of  $\sim 10^7$  copies of a single protein, the variant surface glycoprotein (VSG). During infection the parasite population cycles, with each successive parasitemic peak characterized by the emergence of a serologically distinct dominant cell surface antigen (reviewed in Cross

1990a; Van der Ploeg 1990; Pays et al. 1994) determined by which VSG is expressed. Parasites bearing the new dominant VSG are eventually eliminated by the host's immune system, and this phenomenon of antigenic variation is absolutely essential to the survival of the parasite. In the field the rate of switching from one VSG to another is estimated as  $\sim 10^{-2}$ , but in laboratory strains the switch rate is significantly less than this,  $\sim 10^{-6}$  (Lamont et al. 1986). As has been noted by Vickerman (1989), this latter rate is so low that it falls within the rate of random recombination events, and therefore a large number of the reported DNA rearrangements associated with antigenic switching may have been created by a mechanism unrelated to that utilized by a natural population in maintenance of an infection.

Those VSGs that have been characterized are glycoproteins of approximately 55–65 kDa and are anchored to the parasite cell membrane by a glycosylphosphatidylinositol (GPI) membrane anchor (Cross 1990b). It has been estimated that there are on the order of  $10^3$  different VSG genes within the parasite genome (Van Der Ploeg et al. 1982), which are loosely clustered. These clusters do not reflect a simple evolutionary relationship, however, as in the case of the 117 VSG gene family the most closely related genes are dispersed throughout the genome (Beals and Boothroyd 1992a).

Expression of VSG genes occurs from a specialized telomere-proximal expression site (ES). The VSG gene present in an ES can be overwritten by a gene conversion process (Pays et al. 1983), resulting in the replacement of the ES sequence with the donor or basic copy VSG sequence (reviewed in Cross 1990a; Van der Ploeg 1990; Pays et al. 1994). Alternatively, a new ES may be activated or sequence exchanged between two ESs to allow the expression of a new VSG. The details of these mechanisms remain poorly understood. The ES itself is a large polycistronic transcription unit, and is always located close to a telomere. Approximately 1–2 kbp upstream of the VSG gene in the ES are a variable number of  $\sim 76$ -bp repeats, which have been mapped as containing the 5'-limit of conversion (Campbell et al. 1984). These sequences are also present upstream of the VSG basic copy genes (Liu et al. 1983), while the downstream transposition limit is close or within the 3' end of the open reading frame (ORF) (Bernards et al. 1981; Liu et al. 1983). Transposition of only part of a VSG sequence into an ES, using sequence similarity within the VSG ORF to initiate recombination, has been demonstrated, leading to the production of a chimeric VSG (Longacre and Eisen 1986; Thon et al. 1989, 1990; Kamper and Barbet 1992; reviewed by Barbet and Kamper 1993). There is also evidence for the introduction of apparently nontemplated point mutations specifically within the ORF of an expressed VSG gene (Lu et al. 1993). However, as information within the ES is believed to be eventually lost, these processes do not provide a mechanism for the diversification of the basic copy genes.

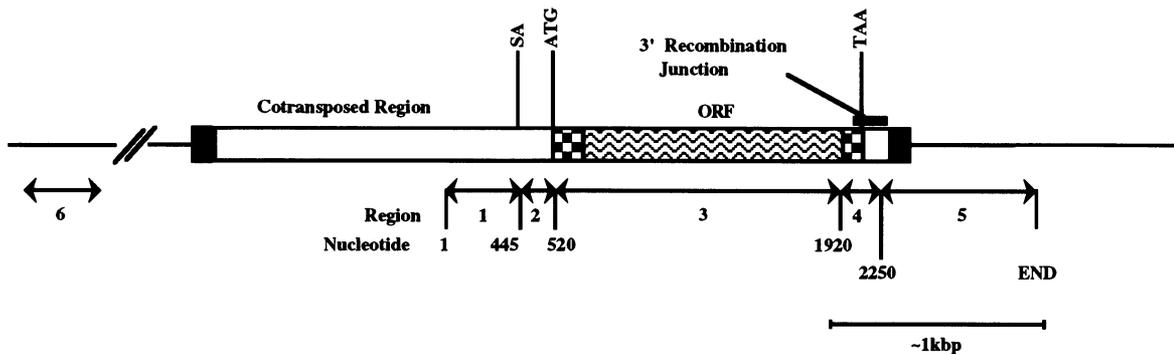
It is the mechanism of diversification that we address in this paper. Clones containing the genes for a discrete family of VSGs closely related to VSG 117 have been isolated in this laboratory (Beals and Boothroyd 1992a) by use of a probe to the region upstream of the ORF. We have now determined the complete nucleotide sequences of eight members of this family, covering a total of  $\sim 20$  kbp of the *T. brucei* genome. Our analysis has been directed to addressing a number of specific questions. First, we have mapped the regions of greatest variability within the VSG coding sequences. Associated with this we have been able to reconstruct a probable course of evolution for the group of genes. Second, we have analyzed the sequences surrounding the ORFs of this panel of VSG genes to gain insight into the mechanisms and pressures leading to diversification. Finally, we have re-examined and extended the data on the location of the retroposon mobile elements RIME and Ingi, previously shown to be present near to the 117 VSG genes (Beals and Boothroyd 1992a).

## Methods and Materials

*Isolation and Preparation of DNA.* Subclones containing genes for members of the 117 VSG family have been previously described in detail (Beals and Boothroyd 1992a,b). Plasmids and cosmids were grown in *E. coli* DH5 $\alpha$  and DNA was prepared using a Qiagen plasmid isolation kit (Qiagen Inc.) exactly as described by the manufacturer. DNAs were further purified by precipitation with 13% polyethylene glycol 4000 or by ultrafiltration using a Centricon 100 where necessary.

*Generation and Analysis of Sequence Data.* The 117 VSG genes contained on the pSUB plasmid series (Beals and Boothroyd 1992b) were sequenced by gene walking without further subcloning or manipulation. Additionally we also determined the nucleotide sequence of the 117 basic copy from pGB117 (Boothroyd et al. 1982, Fig. 1). Sequence data were obtained using dye terminator chemistry with Taq-cycle sequencing using custom primers and the products were resolved using a 373 DNA automated sequencer (PAN Facility, Stanford University) as described by the manufacturer (Perkin Elmer Inc., Foster City, CA). Raw data from the sequencer base calling output were converted to Word files (Microsoft Corp.) and initially assembled and aligned by eye. Completed DNA sequences were translated (all three frames) using the Navigator program (Perkin Elmer Inc.) and aligned using the Pileup program from the GCG package (Devereux et al. 1984). These initial alignments were used to proofread the sequence data by analysis of the electrophoretogram output from the 373 sequencer. Final sequences were realigned using Pileup or GeneWorks. Unassigned bases (i.e., a base was detected but could not be assigned with absolute confidence) are designated as "N," and the corresponding unassigned amino acid as "X."

Phylogenetic gene trees were produced using PAUP (Phylogenetic Analysis Using Parsimony) V3.1.1 (Swafford 1993) using the Pileup alignments of the translated sequences in both branch and bound and exhaustive mode. Both algorithms produced the same topology with insignificant differences in the lengths of the internodes (see Figure 6 legend). The branch and bound tree was then bootstrapped ( $10^3$  replicates) to assess robustness. Analysis of the similarity index of the translations of the ORFs was performed using the PlotSimilarity program from the GCG package, with a window of 20 residues (Devereux et al. 1984). The PlotSimilarity graphic output was scanned, copied into Adobe Photoshop (Adobe Systems Inc.), and manipulated for presentation. Sequences of the 117 (MITat 1.2), 221 (MITat 1.6), and 118 (MITat 1.5) VSG ELCs were retrieved from GenBank (accession num-



**Fig. 1.** Structure of a 117 VSG gene locus. The region considered as the locus is indicated as a *box*, and flanking sequence as a *single line*. The position of the URS is indicated as a *black box*, the N-terminal and C-terminal (GPI) signal sequences by *checker-boarding*, and the coding sequence by *wave-fill*. The proposed position of the recombination junction at the 3' end of the locus is indicated by a *thick bar* above the

schematic. Splice acceptor site, start codon, and stop codon are indicated by SA, ATG, and TAA (for the 117 basic copy), respectively. The positions of the regions 1–6 discussed in the text and the nucleotide positions of their boundaries are indicated beneath the schematic. Nucleotide numberings are based on pSUB70C and the alignments shown in Fig. 3 (not to scale).

bers K00638, V01387, K00639, X56762, and X56763). The sequence for pSUB60 was compiled using some previously determined sequence (GenBank accession number Z11676; Beals and Boothroyd 1992b) together with additional data generated in this study.

**Southern Blot Analysis.** Cosmid clones (pCOS85, 70A, 70C, 60, 55, 52, and 50), as described in Beals and Boothroyd (1992a), were digested with restriction endonucleases (New England Biolabs) and the fragments were separated in a 0.75% agarose gel. The fragments were transferred to 0.45- $\mu$ m nylon membranes under alkaline conditions (modified from Maniatis et al. 1985) and probed with synthetic oligonucleotides using the fluorescein-terminal transferase system (Amersham International Inc.) and detected using the ECL reagent (Amersham International Inc.). All Southern blots were washed at high stringency ( $0.1 \times$  SSC, 0.1% SDS, 65°C) for at least 2 h before visualization.

**Oligonucleotides.** Oligonucleotides were synthesized on an Applied Biosystems Oligonucleotide Synthesizer (Perkin Elmer Inc., Foster City, CA) and were used without purification. Sequences of the oligonucleotides used in Southern blotting were GGTCCAGTACCCCGTATCATCGGGGAAGCCAAGAGCCAGC (RIME1), GGCGCGGCCATCAGCCATCACCGTA (RIME2), CGCCCCGCATGCTCAACGCTCGAACAACCTCTGCACGTCCCG (Ingi1), and CACTGGGTCTAGCGGCGACCCCG (VSG5.1). The RIME1 sequence was designed against part of the sequence from Hasan et al. (1984), while RIME2 was designed against a sequence determined for a RIME element on pSUB9.8 (T. Beals and J.C.B., unpublished data).

## Results and Discussion

### Location of RIME and Ingi Elements

It was previously suggested that the occurrence of the retroposon-like RIME and Ingi elements (Kimmel et al. 1987; Smiley et al. 1990) on some of the cosmids (from which the pSUB series of plasmids were derived) containing the 117 VSG family member genes (pCOS9.8A, 9.8B, 8.5A, and 6.0, Beals and Boothroyd 1992a) could provide recombination sites allowing both the duplication of VSG sequences and the movement of existing sequences within the *T. brucei* genome. We investigated this possibility by Southern analysis of the remaining

cosmids using RIME and Ingi probes. Initially we were able to confirm the assignment of the VSG gene to the regions of the cosmids with homologous restriction maps with the oligonucleotide probe VSG5.1., complementary to the 5' end of the 117 VSG ORF (Fig. 2). We next probed with RIME1, RIME2, and Ingi oligonucleotide probes (see Materials and Methods). We were able to confirm the assignment of RIME/Ingi-related sequences on pCOS9.8A, 9.8B, 8.5A, and 6.0, as well as to detect additional elements in pCOS70C and 6.0 and two in pCOS5.0 (Fig. 2). Interestingly we were able to detect some of the RIME elements with the RIME1 oligonucleotide and others with the RIME2 probe, suggestive of some degree of heterogeneity within the RIME population.

The locations of the putative RIME/Ingi elements were not consistent with a role in duplication/transposition of the 117 VSG gene family; i.e., they were not localized at constant positions with respect to the VSG coding sequence (Fig. 2). The number of RIME/Ingi elements in the *T. brucei* genome is estimated at ~1,000 (Hasan et al. 1984), and therefore it is not unexpected that one or more RIME elements would be present in random DNA fragments of several tens of kilobases, i.e., the size of a cosmid insert. In addition, two of the cosmids analyzed, pCOS5.5A and 5.2A, did not contain RIME/Ingi-related sequence, despite containing sufficient DNA to cover regions corresponding to the positions of RIME/Ingi elements detected on the other cosmids. Taken together we conclude from these data that there is no evidence for a RIME/Ingi-mediated mechanism for expansion of the VSG gene repertoire.

### Nucleotide Sequences of the 117 VSG Gene Family

The complete nucleotide sequences of eight 117 VSG gene family members were determined and are available from GenBank using the following accession numbers:



(designed 1–6; see Fig. 1 for details). The regions will be considered in numerical order.

Region 1 is the upstream region not present in the mature VSG mRNA (nucleotides 1–444). As originally reported in Beals and Boothroyd (1992b), this region shows very high homology, among all the 117 VSG family. In this case we have not obtained sequence data as far upstream from the splice site as in that previous report, but we have seven examples over this region (but see below). Our alignment is slightly altered from that reported earlier (Beals and Boothroyd 1992b) due to the presence of additional sequences in this region, especially the closely related pair 70A and 70C. We find that region 1 is very highly conserved, and the single peak of variability at nucleotide ~250 can be accounted for by an eight-base region of unusual heterogeneity (nucleotides 253–260), including a single base insertion in the basic copy at nucleotide 258 and an eight-base difference between pSUB70A and pSUB70C and the remaining genes. We do not believe that this small region of heterogeneity has functional significance. It is worthwhile noting that the level of similarity is not particularly enhanced more than 29 nucleotides upstream of the splice acceptor site (compare nucleotides 101–200 with 321–420), even though this region may be more constrained than sequences further upstream due to requirements that it retain the ability to be a substrate for the *trans*-splicing machinery (e.g., branch formation). However, around the splice site itself we observe the absolute conservation of 29 nucleotides ACCTCCAACATAAGCAG/CAAAGACTAGA (splice site for the 117 basic copy is indicated by “/”; Boothroyd and Cross 1982) in all eight of the genes. This suggests that these 29 bases are required for correct recognition and processing of the nascent VSG message. It is important to bear in mind, however, that the sequence surrounding the splice sites of non-VSG genes in *T. brucei* is divergent from this sequence, and therefore this complete conservation may indicate a function for this region beyond being a substrate for the *trans*-splicing machinery.

Region 2 encodes the 5′ untranslated region (UTR), i.e., from splice acceptor site (nucleotide 445) to the start codon (nucleotide 520). We confirm the observation of Beals and Boothroyd (1992b) that over this region the basic copy is less related to the family members than they are to each other, consistent with the basic copy as an outlying gene (see below) and with there being less functional constraints on this region than that immediately surrounding the splice site itself.

Region 3 spans the open reading frame (nucleotides 520 to ~1920) to the GPI-addition site near the C-terminus. We observe several areas of increased variability within the N-terminal region of the open reading frame; these are discussed in more detail with respect to the protein coding function below. Within the C-terminal region, before reaching the sequences specifying the GPI-signal peptide, the levels of similarity are high.

There are a small number of apparent deletions in some of the sequences as revealed by the alignment, specifically between nucleotides 688 and 711. However, there is no loss of reading frame through this particular region as all of the genes have a single base missing within this 23-base region relative to the consensus.

Region 4 (nucleotides 1920–2250) includes the GPI-signal peptide and approximately 200 bases of 3′ UTR (it is not possible to predict polyadenylation sites in *T. brucei* but this is the approximate size of the 3′ UTR in most VSG cDNAs so far identified). The nucleotide alignment reveals a different situation in region 4 compared to the others (Fig. 3B). Beginning downstream of nucleotide 1900 several large gaps need to be introduced to maintain the alignments, which is within the C-terminal region of the open reading frame. It is also necessary to introduce gaps within the region 3′ to the stop codons (nucleotide 2094 in the basic copy), and alignment without gaps only becomes possible again around nucleotide 2340 (see below).

It is also apparent that the alignment overall within this region is relatively poor, although it is still significant, and is in stark contrast to the high degree of homology that is seen immediately upstream within the C-terminal region of the ORF (compare the first block of nucleotides in Fig. 3B with the remainder). This increased heterogeneity does not mark the end of the conserved sequences as further downstream, in region 5, close similarity returns. This is consistent with the observation of significant similarities in the restriction maps of DNA downstream of and including region 4 for the cosmids containing the 117 VSG genes (Beals and Boothroyd 1992a), and leads to the conclusion that region 4 contains a localized area of highly increased sequence variation, characterized by both low homology at the nucleotide level as well as the presence of indels (mutations that are insertions or deletions, but due to a lack of temporal information it is not possible to conclude which). This type of heterogeneity is totally unlike that observed within the 5′ end of the ORF, which is characterized by the occurrence of point mutations but seldom an indel and contrasts dramatically with region 1, which has no obvious functional constraint on sequence divergence yet is extremely highly conserved.

This type of heterogeneity could be the result of inaccurate repair to a DNA duplex involved in a recombination event, e.g., transposition or gene conversion into an ES. Incomplete homology between the incoming VSG and that present in the ES would result in the loss or acquisition of sequence information within the donor due to inaccurate resolution of the recombination intermediates, manifested as indels within an alignment of otherwise-homologous genes. The indels could also be the result of an aborted recombination event where a donor gene failed to gene convert the ELC, and the resulting mutations are the product of a DNA repair mechanism. Because VSG genes are present within clusters (Van der





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85 TTCTTGACGTACAATTTTTTCACTAAAGAAAAGTAGCAAAGCTGATGACAGATAAAAACAAACCTTGCTTCTGACTCTATTTTACCAAGCATTGCTCTTCAAGCTGGACTCACT
60 .....C.....G..G.C.....C.TT.....C..T.....
55 .....T.....G..T.....C..AC.....G..G.C.....C..T.....C.....

85 TCTCTAAATAAGGCCCCAAGAATCAGCGGTGGAAAAGACCAGCTAATTGTGTTTAGGTTGGGGTTAGCTACATTTACAGGGGGGACTGGCAGAACAGCGGCTATTTAAAAGACTG
60 C.....G...T.....C..C.C...G.....T.....A.....C.....AA..G...T..C.....
55 .....G..G.T..AC.....C..CC.....G.....G.....T.....G.T..A.....A...GG.....AC.....T.....

85 TGCTCATTTTACTCTTTATAAATGATTCAAAAGCAAATAGGGAAAGCAGTTAACAGTAGCCACAAAGACACCAAAACAACTGTGTGATTAAATGACATCAATATCAGCATCAACCTCTACAC
60 .....C...C.....T.....G.....AG.....ACGGG.....AA..G...T.....A..AG.....G
55 .....C...C.....T.....G.....AG.....ACGGG.....AA..G...T.....A..AG.....G

85 TAGCCAATAAACCCTTTTCATTTGTGAGCTCAGCT-GTCCATGCACAAAAGGTGTCAACACA-ACGCATGGGCCAACGAAT-GCCAGTGCAAGGCCAGCTCTTCAGACTGGGCTACA
60 CTAG.....C...T.....G.....AG.....ACGGG.....AA..G...T.....A..AG.....
55 GTAA..G.....GC.....G.C.....G.....AG..G.....

85 GCACTATAGAGACATGGTTCCACGAGGCCAGCAAGCC
60 .....G...C..-.....G...
55 .....G.....T...AC.....

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**Fig. 4.** High degree of homology upstream of the VSG open reading frame in region 6. Sequence data were obtained using an oligonucleotide primer previously determined by sequencing the upstream region of the insert in pSUB85 from the vector. Sequence information could

not be obtained from the remaining pSUB series plasmids because the inserts do not extend out to this region. Periods indicate identity to pSUB85 and “-” indicates a gap introduced in the alignment.

from taking place further downstream. Our demonstration that the 117 BC gene is in fact flanked by 76-bp motifs is highly suggestive that these sequences delineate a “mobilization” boundary, i.e., provide entry and exit sites for the recombination machinery on either side of the VSG coding region.

We also obtained sequence data from ~3 kbp upstream of region 1 for pSUB85, 60, and 55 (region 6). These sequences (Fig. 4) have a remarkably high degree of similarity even at this distance from the VSG ORF. Whether this similarity extends to all the family members could not be tested because the other pSUB series plasmids do not extend far enough upstream. These results are particularly significant because preliminary results indicate the presence of degenerate URS sequences ~1 kbp upstream of the VSG ORF in pSUB85, pSUB70C, and pSUB65 in a position analogous to where they are found in the 117BC gene (data not shown but see Fig. 1 for position). Although these URS elements were technically difficult to sequence, it was clear that they were much more divergent from each other than the corresponding sequences in region 6, again suggesting that the URS may be involved in local sequence variation similar to that seen in regions 4 and 5.

Taken together, analysis of the regions flanking the VSG ORF indicates the presence of URS sequences, both upstream and downstream (5' to region 1 and in region 5), which are close to or include regions of high sequence diversity, while beyond this, similarity becomes greater (region 6 and the 3' end of region 5). Clearly a role for the URS in the mobilization of VSG sequences is suggested by this, but the precise mechanism remains unknown.

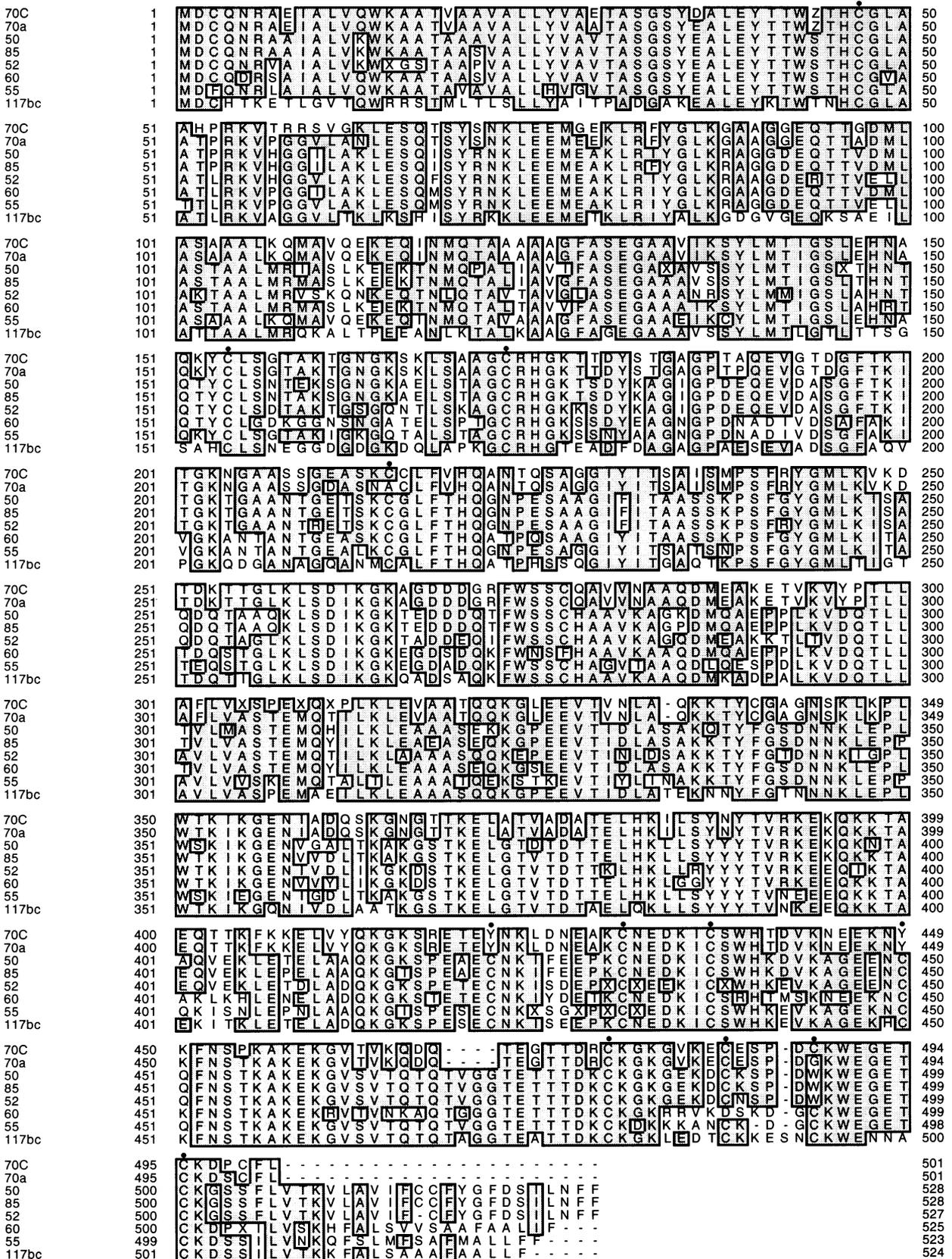
#### *Analysis of the Protein Coding Sequences*

Following translation of the nucleotide sequences, the predicted amino acid sequences were aligned using Pileup (Fig. 5). The translation alignment shows the 117

basic copy to be more distantly related to the family members than the family members are to each other. This is exemplified both by the primary structure of the signal sequences, where the basic copy sequence is clearly very different from that of the family members (Fig. 5), and also from the phylogenetic analysis, which places the basic copy as an outlying molecular taxon (see below). In fact, the signal sequence is the most divergent region between the basic copy and the family member consensus. The positions of cysteines known to disulfide bond in VSG117 (Allen and Gurnett 1983) are highly conserved among the entire group (indicated by dots in Fig. 5), as reported previously for VSGs (discussed in Blum et al. 1993), consistent with all of the expressed proteins having similar secondary structures.

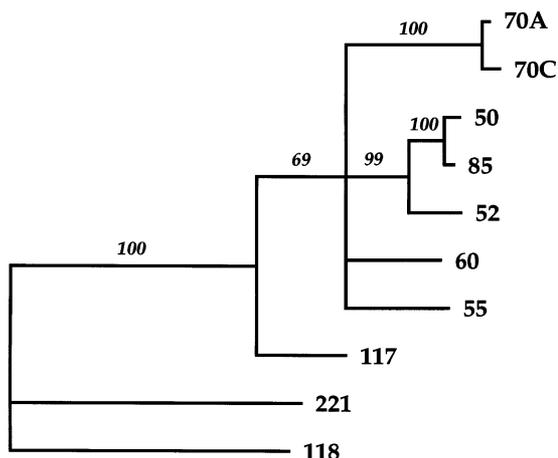
Each of the sequences encodes at least one potential N-glycosylation site. Except for pSUB70C all of the family members conserve the site at codon 453 of the basic copy. pSUB52 encodes one additional site at codon 136, and pSUB55 two new sites at codons 238 and 423. Both pSUB70A and pSUB70C encode two sites at 366 and 388. The possible contribution of an N-glycan to the antigenicity of the VSG is not known, although VSG 117 is known to use the site at codon 453 (Holder 1985).

We confirmed the presence of a TAA stop codon at amino acid 44 in pSUB70A (Beals and Boothroyd 1992b) and found the same feature in the closely related pSUB70C gene, presumably resulting from a single base change from the TCA serine codon in all of the other family members. We also observed that the reading frames of pSUB70A and pSUB70C are closed by a +1 frame-shift at codon 414 (data not shown). In order to allow comparison of the coding potential of the 70A and 70C genes we have artificially frame-shifted the nucleotide sequence by removing this adenine nucleotide for the translations shown in Fig. 5. Again, because regions of VSG genes can frequently be recombined in a segmental fashion, stop codons or frame-shifts do not preclude full functionality of the adjacent sequence. A re-



**Fig. 5.** Translation of the coding region of the DNA sequences of the 117 VSG family. Sequences are numbered from the initiation methionine, and the first amino acid of the mature protein is residue 34 by analogy to the known mature N-terminus of VSG 117. Predicted amino acids are given in the *single-letter code*. Unassigned amino acids are

designated by an *X* and spaces introduced into the alignment by a “-”*Z* indicates in frame stop codons. Cysteines known to disulfide bond in VSG117 are indicated by a *dot* above the alignment. Identities in four or more sequences at a given position are *shaded*. Note that a frameshift in both pSUB70A and pSUB70C at position 414 is ignored (see text).



**Fig. 6.** Molecular phylogenetic reconstruction for the 117 VSG gene family. The topology was calculated using PAUP in branch and bound mode. The translated sequences of the 118 and 221 VSGs were included as an outgroup. The resulting tree was then subjected to robustness analysis by bootstrapping ( $10^3$  replicates) and the resultant final topology is shown, together with the bootstrap values. Note that the tree places the 117 basic copy as an outlying node, with all the family members derived from a single branch. The relative positions of the nodes within the family member branch cannot be determined with confidence, and therefore PAUP shows them as radiating from a single node.

cent report documents a further example of a VSG basic copy which contains an in-frame stop codon (Aline et al. 1994).

The rationale for retention of such VSG sequences may be that the simple antigenic repertoire may be exhausted after many switches, and therefore the production of novel combinations of sequence may be a strategy to allow continued evasion of the host immune system. Such recombinations may be rare, and therefore not readily observed early on in infection, but as the immunological pressure to generate novel epitopes becomes more acute these events may show a greater probability of being represented as a surviving clone as seen among late-expressing variants (Longacre and Eisen 1986).

With the exception of pSUB70A, pSUB70C, and pSUB60 all of the sequences predict functional GPI-single peptides, and the GPI-attachment site XaaSerSer is conserved. In the case of pSUB70A and pSUB70C, the ORF is truncated at this point, removing the hydrophobic C-terminal peptide as well as most of the hydrophilic spacer. pSUB60 has a sufficiently long C-terminal hydrophobic peptide, but the presence of an unassigned amino acid at position 504 precludes judgment of the potential functionality of this sequence. Since activation of VSG genes typically includes fusion to the existing 3' region of an ELC (Bernards et al. 1981; Thon et al. 1989, 1990), including the GPI-signal peptide region, the absence of this sequence in some of the family member genes in no way precludes their being fully functional in antigenic variation.

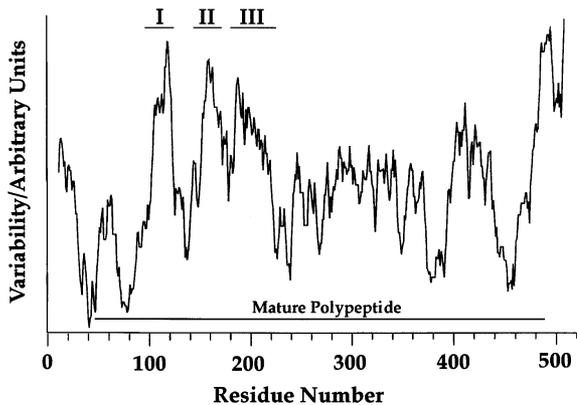
The 117 VSG ORFs were analyzed using PAUP

(Swafford 1993) in exhaustive mode to determine a probable evolutionary relationship between the eight genes. The sequences of the ORFs of 118 and 221 VSGs were included to provide an outgroup. The single derived tree obtained was subjected to a bootstrap branch and bound algorithm (Swafford 1993) to test the robustness of the topology, and the bootstrap result is shown (Fig. 6). The bootstrap values are very high for all of the internodes except that containing all of the family members; i.e., it is not possible to assign a relative divergence order for the pSUB70A and pSUB70C clade; the pSUB50, pSUB52, and pSUB85 clade; or pSUB60 and pSUB55. This topology shows the 117 basic copy to be an outlier compared with the remainder of the family and is consistent with a duplication of the 117 basic copy and subsequent radiation of the family members from this ancestor. It is also possible that the divergence of the basic copy from the other 117 VSG genes has been accelerated by virtue of more frequent selection for variation by exposure to the host immune system as only the 117 BC is definitively known to be expressed.

The family members include two clusters, with pSUB70A and pSUB70C present on one node, and pSUB50, pSUB52, and pSUB85 on a second. The relationships are consistent with a steady duplication and divergence of the 117 VSG genes with time following an initial duplication (see above). Interestingly, the accumulation of mutations within the ORF is biased against insertion/deletion, and nucleotide replacement is favored. The close clustering of pSUB70A and pSUB70C suggests that the duplication of these genes is a recent event. Therefore it is most probable that the acquisition of the in-frame stop codon and the frame-shift mutation predate the duplication event, while the distance of these two genes from the rest of the cluster suggests that the common ancestor of this pair has been diverging either for a long period of time or divergence has been accelerated due to the loss of function.

The aligned sequences were analyzed for regions of reduced and enhanced variability using PlotSimilarity (Fig. 7). When all of the 117 VSG gene family, including the basic copy, were considered, two strong and one weaker peak of increased diversity were detected in the region corresponding to the mature VSG. The high level of variability at the extreme C-terminus is due to truncation of the GPI-signal in pSUB70A and pSUB70C and reflects the extreme diversity seen at the nucleotide level in this region (region 4, see above). All three variable peaks were within the N-terminal domain, and had approximate maxima at amino acids 115 (peak I), 155 (peak II), and 185 (peak III). Peaks I and II encompass ~10–15 amino acids, while peak III is broader and less pronounced.

The N-termini are the most variable among VSGs, presumably because they are the portions of the molecule



**Fig. 7.** Localization of Variability in the 117 VSG family coding sequences. Hypervariability of the translated 117 family coding sequences is seen in three discrete N-terminal regions. The sequences shown in Fig. 5 were compared using PlotSimilarity with a window of 20 residues. The three hypervariable peaks within the N-terminal domain are indicated by *I*, *II*, and *III*. The high variability score at the C-terminus is due to the different lengths of the GPI-signal peptides. The extent of the mature polypeptide, based on analysis of the 117 VSG protein, is indicated.

that are exposed to the host environment. However, there are considerable constraints on the amount of variability that can be tolerated, and it appears that different VSGs may adopt similar tertiary structures (Olafson et al. 1984; Blum et al. 1993). When the sequences 50, 52, and 85 alone were considered, only peaks 1 and II were detected (data not shown). These three VSGs are clustered in the PAUP analysis, i.e., are most closely related, suggesting that regions I and II are evolving the most rapidly, while variation within peak III is only observed when more distant relationships are considered, suggesting less rapid evolution.

Inspection of the sequences encoding the hypervariable peaks with the alignments presented by Blum et al. (1993), together with the two available X-ray crystal structures (Blum et al. 1993; Freymann et al. 1990), indicates that peaks II and III are positioned within the solvent accessible portion of the molecule, in the surface-exposed loops, whereas peak I is within the long helix "B" that is buried within the folded VSG. The localization of variable regions II and III to surface-exposed loops is clearly consistent with the selection of new variants by virtue of their ability to evade the host immune response by presentation of novel surface epitopes. Our assumption that the 117 VSG family will adopt a similar fold to the VSGs of MITat 1.2 (VSG 221) and ILTat 1.24 is not unreasonable as all three are type-A VSGs based on sequence comparisons (as defined in Blum et al. 1993) and the latter two are no more similar to each other than either is to VSG 117.

The presence of a variable region within the conserved helix B is unexpected. It could indicate that this region is under pressure to change, perhaps because this region impacts the B-cell epitopes, as suggested by epitope mapping studies (Hsia et al. 1996) or because the

helix comprises a T-cell epitope. Alternatively, it could be reflecting background variation in a region where variance is tolerated provided that it does not interfere with the  $\alpha$ -helical structure.

There are two regions of increased similarity within the C-terminus at amino acid positions 380 and 460. As the C-terminus of VSG has not been crystallized it is not possible to determine if this decrease in variability corresponds to a specific structural feature. Secondary-structure prediction algorithms did not point out any remarkable features about this region, but the possibility of selection for retention of a specific sequence may indicate some structural or functional importance in this region. For example, the minimum in variation at amino acids 450–460 corresponds to the location of a conserved glycosylation site at Asn 452/3 in all of the 117 VSG genes except pSUB70C (Fig. 5). If addition of carbohydrate at this location is functionally important the polypeptide sequence surrounding the site may also be constrained because the secondary structure of the N-glycosylation site may influence its ability to be processed (Kornfeld and Kornfeld 1985).

Our results stand in marked contrast with what has been seen for other parasite surface antigens where more clear, functional constraints are operating; for example, the gp63 gene family of *Leishmania* is less diverse and its variation is far more localized, probably because of the need to retain metalloprotease activity (Roberts et al. 1993). The data presented here also indicate that the total VSG repertoire is hierarchical at many levels, but most importantly in genomic context, influencing the probability and mechanism of activation, and in coding potential, affecting the amount of diversity once expressed. Finally, the information presented here gives new hints of some of the pressures (e.g., T-cell selection) and mechanics (e.g., the recombinogenic/mutagenic repeats) that may accelerate the evolution of this remarkable system for antigenic variation.

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